RETAIL ENERGY CODE

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01	Initial Assessment Report
02	Preliminary Change Report
03	Final Change Report

INITIAL ASSESSMENT REPORT

R0148 – INTRODUCTION OF CLASSIFICATION-BASED ACCESS MODEL

DOCUMENT VERSION	V1.0
DATE ISSUED	10/05/2024
DOCUMENT APPROVAL STATUS	NOT YET APPROVED

RESPONSIBLE COMMITTEE	CHANGE PANEL	CHANGE PATH	SELF GOVERNANCE
PRIORITY STATUS	HIGH	URGENCY	STANDARD

DEADLINE FOR ALTERNATIVE CHANGE PROPOSALS	25/10/2024

LEAD CHANGE ANALYST



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CHANGE OVERVIEW

This change proposal is seeking to improve, extend and simplify access to Enquiry Services data securely and efficiently through the classification of data items.

	High Impact: N/A
	Medium Impact: Enquiry Services Users, REC Parties, Non-REC Parties
	Low Impact: Consumers

1 BACKGROUND

A key element of RECCo's Data and Digitalisation Strategy includes extending, securing, and improving access to industry data to enable this to be used more innovatively, effectively, and strategically across the industry and beyond.

Currently Enquiry Services Data is only available to users whose roles and purposes for access are detailed within the Data Access Matrix (DAM). RECCo has undertaken a project to look at REC controlled data sources and created a Metadata Catalogue based on a Data Classification Model. This details the data items along with descriptions, identification of the associated data, roles, responsibilities, and classification.

The output of this work will be utilised to improve access to Enquiry Services Data by making certain data items (defined as Always Open) easily available to everyone and simplifying the approval process for data items which can be made available if certain conditions have been met.

To meet the objectives of R0148, the following items must be delivered.

- Updates to the data specifications to include Enquiry Services metadata such as clear data item definitions, classification, roles and responsibilities alongside a data domain model.
- Data classifications introduced as below:

Category	Category Classification
Always Open	Data item does not contain personal, commercial or security sensitive data and can be made open.
Conditionally Open	Data item in isolation is not personal, commercial or security sensitive, but when combined with others in the same data set may result in it becoming personal, commercial or security sensitive.
Personal	Data item contains Personally Identifiable Information (PII).
Closed	Data item contains data that is personally, commercially or security sensitive and cannot be shared unless under exceptional circumstances.

- A clear and efficient process for data access requests based on their classification; and

- Updates to legal text to switch REC data access from being role based to classification based.

Alongside this Change Proposal but not part of it, will be the publication of consolidated open data industry reports. These will not be at meter point level but aggregated. Feedback on the usefulness of these reports will be used to develop an enduring solution for Open Data.

2 PROBLEM STATEMENT

Currently the REC has a focus on role-based access to data items within the Enquiry Services as detailed in Schedule 12 – Data Access. However, a system and process where data items are released by virtue of the data item is needed.

3 USER STORIES

REF.	USER STORIES
CONSUMER USER STORIES	
C1	As a Consumer, I want Market Participants to have appropriate data access so that I can benefit from improved product and service offerings.
MARKET PARTICIPANT USER STORIES	
M1	As a Market Participant, I want the processes that grant data access to be simplified and adaptable so that valid data use cases are addressed quickly.

4 IMPACTS

CONSUMERS
All Consumers

REC SERVICE PROVIDERS
EES Provider
GES Provider

RECCO / REC CODE MANAGER
Code Manager

REC PARTIES AND SERVICE USERS
All REC Parties and Service Users

REC DOCUMENTS / PRODUCTS
Schedule 12 – Data Access
Electricity DAM
Gas DAM
REC Baseline statement

This change will also include the introduction of a Metadata Catalogue/Data Access Matrix.

4.1 MARKET-WIDE HALF HOURLY SETTLEMENT IMPACTS

MHHS DESIGN	MHHS DATA SPECIFICATION	MHHS CODE DRAFTING
No impact.	Electricity DAM (Category 3).	Indirect impact to Schedule 12.

Consideration of how this Change Proposal interacts with the MHHS (Market wide Half Hourly Settlement) arrangements will continue during solution development. Category 3 documents are out of scope of MHHS code drafting however, these documents are being reviewed and updated by the REC MHHS project. How the new MHHS data items are reflected in the updated EES DAM will be considered as part of that scope of work.

5 CROSS-CODE IMPACTS

There are no cross-code impacts identified with this change.

6 CHANGE PATH AND RESPONSIBLE COMMITTEE

CHANGE PATH	SELF GOVERNANCE	RESPONSIBLE COMMITTEE	CHANGE PANEL

Change Panel is the most suitable Responsible Committee as it is responsible for Schedule 12 – Data Access which is the only Category 1 document within the scope of the Change Proposal. It does not meet the criteria to be an Authority Led change.

7 PRIORITY STATUS AND URGENCY

Category	Significance / Resource impacts / Urgency	Further selection / breakdown	Score (0-3)	Weighting	Weighted Score
Impacts	Consumer Impact	Indirect	1	25	25
	Retail Markets Impact	Innovation and Market Arrangements	3	15	45
	RECCo / REC Party / REC Service Provider Impact	Operational Effectiveness and Efficiencies	2	5	10
		Legal and Regulatory Compliance	1	13	13
Resource	Complexity / Effort / Duration		1	8	8
Strategic Alignment	Contribution to/ Alignment with the objectives of the REC		2	15	15
	Contribution to/ Alignment with RECCo's Forward Work Plan		2		15
Urgency *(The need to progress a change to meet a targeted date)*		Other Planned Activity (High Priority activity)	1	20	20
				Overall Priority Score (rounded)	150

Priority Status	MEDIUM
Change Proposal Type	STANDARD

This Change Proposal has a medium priority based on an urgency score of 1 and a priority status of 150.

This change has an indirect and low impact to Consumers leading to a score of 1. It has scored 3 on Retail Market Impacts, under Innovation and Market Arrangements. It has scored 2 under Operational Effectiveness and Efficiencies for REC Parties and Service Provider impact, but 1

for Legal and Regulatory Compliance. It has scored 2 for both Alignment with Objectives of the REC and RECCo's Forward Work plan.

8 SUMMARY OF CHANGE PROPOSAL PLAN

This Change Proposal includes a period of solution analysis and development to refine the legal text within Schedule 12 and publish a reviewed and altered version of the Metadata Catalogue following an initial impact assessment by industry.

A period of Service Provider Impact assessment will then commence on 01 July 2024. Whilst the technical solution associated with Open Data will be progressed by RECCo separately to this Change Proposal, both the EES Provider and GES Provider will be impact assessed to understand any consequences for workload, processes, and technical solutions.

A 15 Working Day Party Impact Assessment will then be published on 20 September 2024 in preparation for the Preliminary Change Report and Consultation to be published on 25 October 2024 for a period of 15 Working Days.

The Final Change Report will be published on 26 November 2024 in readiness for a final decision on 03 December 2024.

	FROM	TO
SOLUTION ANALYSIS AND DEVELOPMENT	21/05/2024	28/06/2024
SERVICE PROVIDER IMPACT ASSESSMENT	01/07/2024	09/09/2024
PARTY IMPACT ASSESSMENT	20/09/2024	11/10/2024
PRELIMINARY CHANGE REPORT AND CONSULTATION ISSUED	25/10/2024	25/10/2024
CONSULTATION	25/10/2024	15/11/2024
FINAL CHANGE REPORT ISSUED	26/11/2024	26/11/2024
RESPONSIBLE COMMITTEE DECISION	03/12/2024	03/12/2024
APPEAL WINDOW CLOSURE	17/12/2024	17/12/2024

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9 GLOSSARY

TERM	DEFINITION
Consumer	means any person supplied (or seeking a supply) of Energy at an RMP (whether or not that person also exports or is seeking to export electricity at an RMP).
Data Access Matrix (DAM)	means the matrix describing which Enquiry Service Data each Enquiry Service User Category can access, which forms part of the Data Specification and is updated in accordance with the Data Access Schedule.
Data Access Schedule	means REC Schedule 12.
Electricity Enquiry Service (EES)	means the service described as such in the EES Service Definition.
Electricity Enquiry Service (EES) Provider	means the provider of the Electricity Enquiry Service.
Gas Enquiry Service (GES)	means: (a) until CSS Go-Live Date, the enquiry service provided pursuant to the UNC which allows authorised users to access Gas Retail Data; and (b) from CSS Go-Live Date, the service of that name procured by RECCo pursuant to the Data Access Schedule.
Gas Enquiry Service (GES) Provider	means the provider of the Gas Enquiry Service.