RETAIL ENERGY CODE

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QUALIFICATION

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FOR DECISION	The Code Manager requests the PAB to: • **APPROVE** the 'REC Assessment Criteria for MHHS Qualification v3.1' for publication. • **APPROVE** that the R0202 updated Change Plan is released for consultation.
FOR INFORMATION	• The number of completed Qualifications since 1 January 2025 are: ○ 4 Parties (of which 1 was a DNO, 1 was a Gas Supplier, 2 were SIPs) ○ 10 REC Services • The number of applications in progress which are actively engaged with the Qualification team are: ○ 13 Parties (excluding Metering) ○ 27 Metering Parties ○ 19 Enquiry Services *Further information has been provided as part of the 'Market Entry Tracker' and 'Metering Tracker'*
APPENDICES	**Appendix A** - MHHS REC Assessment Criteria for MHHS Qualification v3.1 **Appendix B -** R0202 Change Report (Consultation) v0.2 **Appendix C -** R0202 RECPAB_PARC V5.2 - REDLINE **Appendix D** – Consolidated Party IA Responses (Confidential)

1 DOCUMENT UPDATES

1.1 REC ASSESSMENT CRITERIA FOR MHHS QUALIFICATION

1.1.1 DOCUMENT CONTENT

The **REC Assessment Criteria for MHHS Qualification** defines the level of assurance that the REC Code Manager will undertake during MHHS Qualification. For each MHHS requirement (which, where relevant, are grouped by requirement type, market role, and/or business process area), the REC Code Manager defined and described the relevant Retail Risk Rating. Based on these Retail Risk Ratings, alongside any other considerations noted in the document, the REC Code Manager outlined whether assurance for MHHS Qualification would be obtained via the Qualification Assessment Document (QAD), Pre-Integration Testing (PIT), System Integration Testing (SIT), and/or Qualification Testing (QT).

The **REC Assessment Criteria for MHHS Qualification** was then used to define the requirements for MHHS Qualification documentation that were jointly created by the BSC, REC, and MHHS Programme, such as the MHHS Qualification Requirements-to-Test-Traceability-Matrices (RTTMs).

1.1.2 DOCUMENT UPDATES

REC Assessment Criteria for MHHS Qualification v3.0 was approved by the REC PAB in June 2024.

The **REC Assessment Criteria for MHHS Qualification v2.0** (and onwards) included MHHS Non-Functional Requirements based on 'MHHS-DEL2128 NFR Categorisation'. However, the Non-Functional Requirements referenced in this document will not be baselined within the REC itself, and will instead be baselined within the Data Integration Platform (DIP) Rules.

The BSC and REC Code Bodies have therefore updated the joint MHHS Qualification Approach and Plan to reflect the DIP Manager's responsibility around the assurance of Non-Functional Requirements; this update was approved by the REC PAB in January 2025 after industry consultation.

Consequently, the DIP Manager have published their own assessment criteria outlining how they will gain the appropriate level of assurance that Participants can meet the MHHS Non-Functional Requirements defined within the DIP Rules; this criteria has been shared with industry, and the DIP Change and Advisory Board (DCAB) will make a decision on whether to approve that assessment criteria next month.

The **REC Assessment Criteria for MHHS Qualification** has therefore been updated to remove the list of Non-Functional Requirements from this document, and instead refer to the documented created by the DIP Manager.

Additionally, the **REC Assessment Criteria for MHHS Qualification** has been updated to be consistent with the latest version of the MHHS Business Requirements and Design Requirements, as well as any changes made to the Qualification Requirements-to-Test-Traceability-Matrices (RTTMs) that were agreed between the Code Bodies and MHHS Programme.

A small number of minor housekeeping changes were also made to this document; a redline version has been included as an Appendix to this PAB paper.

1.1.3 WIDER REVIEW

It is the role of the REC PAB to set the policy, approach, and risk appetite for MHHS Qualification under the REC, therefore the governance for updating the **REC Assessment Criteria for MHHS Qualification** sits solely with the REC PAB and not wider industry groups. However, this document was also shared with the wider industry, and no objections were raised at the MHHS Qualification Working Group (QWG).

REC PAB DECISION

The Code Manager recommends the REC PAB **APPROVE** 'REC Assessment Criteria for MHHS Qualification v3.1' for publication.

1.2 R0202 PERFORMANCE ASSURANCE REPORT CATALOGUE (PARC) UPDATES

1.2.1 DOCUMENT CONTEXT

The **REC Performance Assurance Report Catalogue (PARC)** details a range of data and reports collected by the Code Manager from REC Parties and other industry participants to support Performance Assurance activities across the REC, as approved by the PAB. The data items listed within the catalogue are driven by the Performance Assurance Framework, particularly the Retail Risk Register.

In August 2024, the Code Manager raised REC Change Proposal R0202 to introduce new reporting requirements within the **PARC** to monitor performance under the new arrangements introduced by MHHS. As part of prioritising data from central sources, the approach taken by the Code Manager sought to utilise reports produced by the DIP Manager where these could provide the required information.

MHHS CR055 delayed the implementation of the MHHS Programme, which provided an opportunity to defer the release of the R0202 solution and allow for a longer impact assessment with impacted stakeholders. As a result, the REC Code Manager agreed to progress R0202 with a more limited scope, focused on MTD accuracy during the MHHS transition and enduring operations. At the October 2024 PAB, the Code Manager agreed to notify PAB once the updated change plan was confirmed. Further changes to the PARC to reflect DIP Reporting will be raised as a separate change.

1.2.2 DOCUMENT UPDATES

The Change Proposal adds 2 new reporting requirements to the PARC:

1. 860 for MOAs to provide a monthly assurance report detailing key MTD and attributes for reconciliation with central system
2. 861 for MOAs to provide a monthly portfolio report

3 Appendices have been added related to this item: a redline version of the R0202 Change Proposal, a redline copy of the changes to the PARC, and feedback from Parties on the PARC changes.

1.2.3 WIDER REVIEW

R0202 will be progressed via the standard Change Management approach as outlined in REC Schedule 5; the next step is for R0202 to be issued out to industry for consultation.

REC PAB DECISION

The Code Manager recommends the REC PAB **APPROVE** that the R0202 updated Change Plan is released for consultation.

1.3 WORK OFF PLAN TEMPLATE

1.3.1 FUTURE UPDATE

As noted in the MHHS Qualification Approach and Plan, a Party may need to agree a work-off plan to cover any outstanding defects from System Integration Testing or Qualification Testing as part of their MHHS Qualification Assessment Document submission.

The REC Code Manager will monitor these work-off plans using the existing process for Action Plans as part of the Performance Assurance Methodology & Techniques.

The REC and BSC are developing a joint submission template to capture these work-off plans. The Code Bodies will consult with industry at the MHHS Qualification Advisory Group (QAG) on the proposed template and methodology to capture and review these work-off plans; subsequently, PAB will also be invited to approve the template and methodology.

2 QUALIFICATION STATUS

2.1 MARKET ENTRY AND METERING TRACKERS

The REC Code Manager has included the following information in the 'Market Entry Tracker' and 'Metering Tracker' to provide PAB with the latest status of Qualification applications and escalations:

Market Entry Tracker

- **Market Entry Process Tracker – Parties** i.e. ongoing active applications to Qualify as a Supplier, DNO, or GT
- **Qualification Completion Tracker** i.e. number of Parties that have completed REC Qualification
- **Market Entry Process Tracker – Enquiry Services** i.e. ongoing active applications to gain access to REC Enquiry Services
- **Overdue Tracker** i.e. the breakdown of Parties that have completed their Annual Maintenance of Qualification submissions
- **Change Notifications** i.e. ongoing system and process changes that the Code Manager is monitoring
- **Specific Org Action** i.e. ongoing escalations by the REC Code Manager, including incomplete Maintenance of Qualification submissions

Metering Tracker

- **Metering Process Tracker** i.e. ongoing active applications to Qualify as an AMI, EMO, MAM, MOA, or SIP
- **Metering Process Applications** i.e. breakdown of applications by role
- **Specific Org Action** i.e. ongoing escalations by the REC Code Manager including those raised by the CoMCoP Scheme Auditor

The REC Code Manager would welcome any feedback from PAB Members on the Market Entry and Metering Trackers, including whether there is any further information you would like to see, and on the format of sharing these in a Dashboard rather than in the PAB Papers.

3 OPERATING PLAN UPDATES

3.1 M9. REC SERVICE USER CATEGORISATION AND ASSESSMENT DOCUMENT

The **REC Service User Categorisation And Assessment Document** defines the different types of REC Service User Categories, and provides details around the Information Security and Data Protection assessment requirements for each of these Categories.

This document is periodically reviewed and updated when new REC Service User Categories are approved to access REC Services; the last such update took place in February 2025.

RECCo undertook a review of REC controlled data sources and created a Metadata Catalogue detailing the relevant data items along with descriptions, identification of the associated data, roles, responsibilities, and classification. REC Change *R0148 Introduction of classification-based access model* seeks to use the output of this work to improve access to Enquiry Services Data by making certain data items (defined as 'Always Open') easily available to everyone and simplifying the approval process.

If R0148 is implemented, then the **REC Service User Categorisation And Assessment Document** will need to be reviewed to reflect this updated approval process. When the Change Proposal Plan for R0148 was last baselined in September 2024, the expected approval date was March 2025, and the **REC Service User Categorisation And Assessment Document** was scheduled to be updated when the change was approved.

R0148 has not yet been approved, and the Change Proposal Plan will shortly be re-baselined. When the updated timeframes for this Change Proposal Plan have been confirmed, we will update the Performance Assurance Operating Plan to reflect when the **REC Service User Categorisation And Assessment Document** will consequently be updated.

3.2 E2. VERIFICATION OF ETTOS CONFIDENTIALITY

In December 2024, the REC PAB approved updating the Maintenance of Qualification requirements regarding the assurance around ETTOS Confidentiality.

The REC Code Manager subsequently communicated this decision to REC Parties at various forums, including the REC Issues Group, and also received feedback on the proposed implementation approach; there was no negative feedback on the proposed updates to the Annual Statement and External Assessment.

The REC Code Manager is therefore now working to reflect these changes to the Annual Maintenance section of the REC Portal.