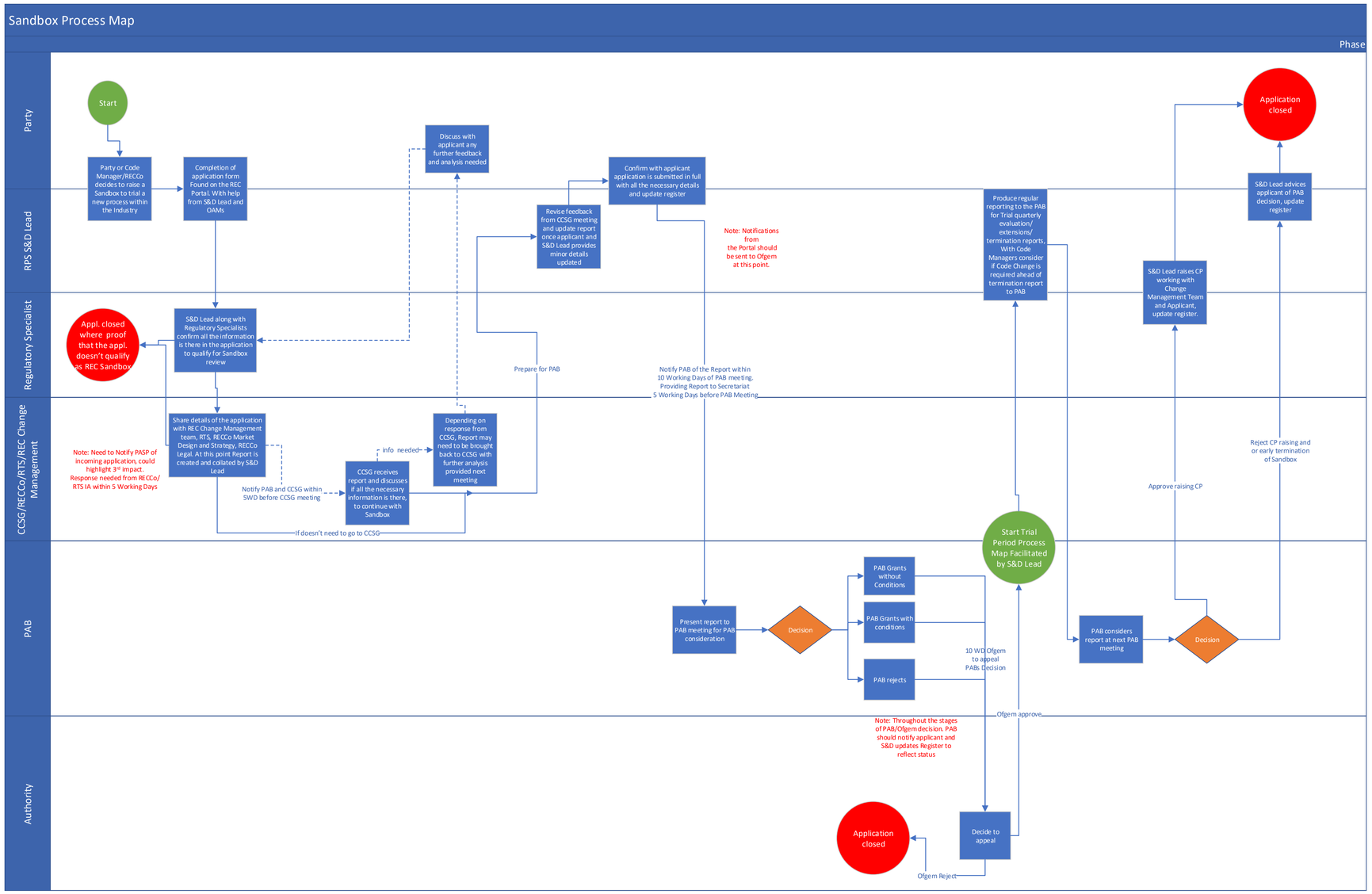

Sandbox Process Map
Phase
Party
RPS S&D Lead
Regulatory Specialist
CCSG/RECCo/RTS/REC Change Management
PAB
Authority
Start
Party or Code Manager/RECCo decides to raise a Sandbox to trial a new process within the Industry
Completion of application form Found on the REC Portal. With help from S&D Lead and OAMs
Discuss with applicant any further feedback and analysis needed
Revise feedback from CCSG meeting and update report once applicant and S&D Lead provides minor details updated
Confirm with applicant application is submitted in full with all the necessary details and update register
Note: Notifications from the Portal should be sent to Ofgem at this point.
Produce regular reporting to the PAB for Trial quarterly evaluation/ extensions/ termination reports, With Code Managers consider if Code Change is required ahead of termination report to PAB
S&D Lead raises CP working with Change Management Team and Applicant, update register.
Application closed
S&D Lead advices applicant of PAB decision, update register
Appl. closed where proof that the appl. doesn't qualify as REC Sandbox
S&D Lead along with Regulatory Specialists confirm all the information is there in the application to qualify for Sandbox review
Prepare for PAB
Notify PAB of the Report within 10 Working Days of PAB meeting. Providing Report to Secretariat 5 Working Days before PAB Meeting
Share details of the application with REC Change Management team, RTS, RECCo Market Design and Strategy, RECCo Legal. At this point Report is created and collated by S&D Lead
Note: Need to Notify PASP of incoming application, could highlight 3rd impact. Response needed from RECCo/ RTS IA within 5 Working Days
Notify PAB and CCSG within 5WD before CCSG meeting
CCSG receives report and discusses if all the necessary information is there, to continue with Sandbox
info needed
Depending on response from CCSG, Report may need to be brought back to CCSG with further analysis provided next meeting
If doesn't need to go to CCSG
Approve raising CP
Reject CP raising and or early termination of Sandbox
Start Trial Period Process Map Facilitated by S&D Lead
Present report to PAB meeting for PAB consideration
Decision
PAB Grants without Conditions
PAB Grants with conditions
PAB rejects
10 WD Ofgem to appeal PABs Decision
PAB considers report at next PAB meeting
Decision
Ofgem approve
Note: Throughout the stages of PAB/Ofgem decision. PAB should notify applicant and S&D updates Register to reflect status
Application closed
Decide to appeal
Ofgem Reject